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DOCKET NO.: M\$303531.02/MSFTP453USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:

Eric J. Horvitz

Serial No.:

10/611,491

Filed:

June 30, 2003

For:

BOUNDED-DEFERRAL POLICIES FOR GUIDING THE TIMING OF ALERTING,

INTERACTION, AND COMMUNICATIONS USING LOCAL SENSORY INFORMATION

Art Unit:

2643

Examiner: Unknown

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

3.

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Serial No.:

10/220,419

10/220,550

Filing Date:

04/01/2003

08/30/2002

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449,
Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although
Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2.	Regarding each listed document that is not in the English language, an English-language translation accompanies
this :	Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in
the f	ollowing document(s):

a) 📙	Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
ь) 🔲	Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):

(a) 🔲	Within 3 months of	the filing date	or date of entry	into the National Stage.

- (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

	(q) 🗀	After the period set forth in 37 C.F.R. $\S1.97(b)$ but before the mailing date of either a final action or notice of allowance.	a
		(1) The required certification is given below, or	
		(2) Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement:	
		(i) Enclosed is a check covering the fee;	
		(ii) Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or	г
		(iii) Enclosed is a credit card payment form covering the fee.	
	(e) 🗀	After the mailing date of either a final action or a notice of allowance, but before payment of the iss Petition hereby is made for consideration of this Statement and the required certification is indicated Regarding the fee set forth in 37 C.F.R. §1.17(i)(1) for consideration of this Statement:	ue fee, I below
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		(3) Enclosed is a credit card payment form covering the fee.	
4.	Certific	ion (if applicable)	
	(a) 🗌	The undersigned hereby certifies that each item of information contained in this Statement was cited communication from a foreign patent office in a counterpart foreign application not more than 3 motorior to the filing of this Statement.	l in a nths
	(b) <u></u>	The undersigned hereby certifies that no item of information contained in this Statement was cited in communication from a foreign patent office in a counterpart foreign application or, to the undersign chowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § more than 3 months prior to the filing of this Statement.	ed's
5.	The Co.	missioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit A	rccom
		Respectfully Submitted, By arrange.	
		Jeffrey R. Sadlowski Reg. No. 47,914	
24 <sup>TH</sup> Fl 1900 Ea Clevela	Turocy, oor, Nationast 9 <sup>th</sup> Str. and, Ohion 96-8730	al City Center t	
		CERTIFICATE OF FACSIMILE	
	being i	certify that this correspondence (along with any paper referenced as being attached or enclosed) is ced to 571-273-8300 on the date shown below to Commissioner for Patents, RO. Box 1450, ia, Virginia 22313-1450.	_
		3-2005 agout form	•
	Date	Jeffrey R. Sadlowski	

## NOV 03 2005

PTQ/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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	UPPLEMENTAL			Application Number	10/611,491		
18	NFORMATION DISC	CLO	SURE	Filing Date	06/30/2003		
S	TATEMENT BY AF	PL	CANT	First Named Inventor	Eric J. Horvitz		
	,,			Art Unit	2643		
	(Use as many sheets as ne	cessary	)	Examiner Name			
Sheet	1	of	2	Attorney Docket Number	MS303531.02/MSFTP453USA		

			U. S. PATENT	DOCUMENTS	
Examiner Initials"	Cite No.1	Document Number  Number-Kind Code <sup>2 (* known)</sup>	Publication Data MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns. Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,101,531	08-08-2000	Eggleston, et al.	
		US- 6,301,609	10-09-2001	Aravamudan, et al.	
··· · · · ·	· · · · · · · · · · · · · · · · · · ·	US- 2004/030753 A1	02-12-2004	Eric J. Horvitz	
		US- 6,513,026 B1	01-28-2003	Eric J. Horvitz, et al.	· · · · · · · · · · · · · · · · · · ·
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<u></u>	FOREIGN PATENT DOCUMENTS										
Examiner Initials	Clie No.'	Foreign Patent Document  Country Code* Number* "Kind Code* (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines. Where Relevant Pasaages or Relevant Figures Appear	τ°					
MAI 111111111		WO 01/69387 AZ	09-20-2001	Microsoft Corporation							
		WO 02/065250 AZ	08-22-2002	Invertix Corporation		L					
		WO 2004/025912 AZ	03-25-2004	IBM United Kingdom Ltd.							
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Secretary 1	Date	
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		or form 1449B/PTO			Complete if Known			
	•	PLEMENTAL			Application Number	10/611,491		
		RMATION D				06/30/2003		
STATEMENT BY APPLICANT					First Named Inventor	Eric J. Horvitz		
					Art Unit	2643		
(Use as many sheets as nocessary)					Examiner Name			
-	Sheel	2	of .	2	Attorney Docket Number	MS303531.02/MSFTP453USA		

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, Journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T₹					
		European Search Report dated 09-28-2004 for Application No. EP 05 10 5299, 4 pages.						
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